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1 **Abstract**

2 **Background:** Thermal pasteurization and sterilization are predominantly used  
3 in the dairy industry due to their efficacy in improving the product safety and  
4 shelf life. However, heat treatment can cause undesirable protein denaturation,  
5 non-enzymatic browning, loss of vitamins and volatile flavor compounds,  
6 freezing point depression, and flavour changes. Cold plasma is a non-thermal  
7 technology that has gained attention in recent years as a potential alternative  
8 method for chemical and thermal disinfection in foods using ambient or  
9 moderate temperatures and short treatment times.

10 **Scope and approach:** This review aims to describe the fundamentals,  
11 parameters, and technology on cold plasma, discussing the critical processing  
12 factors involved in this technology. Also, it describes the mechanisms of  
13 microbial inactivation and provides an overview of the effects of non-thermal  
14 plasma on the quality of dairy products, considering a physicochemical, sensory  
15 and microbiology perspective.

16 **Key findings and conclusions:** Cold plasma uses less aggressive  
17 mechanisms of action to the milk matrix when compared to the techniques  
18 currently used, and has shown an excellent performance on the elimination of  
19 pathogenic and spoilage microorganisms besides maintaining, in many cases,  
20 the nutritional, functional, and sensory characteristics of the product.

21 **Keywords:** emerging technologies; cold plasma; dairy foods; processing;  
22 microbial; food safety.

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## Cold Plasma processing of milk and dairy products

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## 28 **1. Introduction**

29 Milk contains carbohydrates (lactose), fatty acids, high-quality protein, and  
30 various micronutrients, such as vitamins, minerals, and trace elements (European Milk  
31 Forum, 2017). According to the Food and Agriculture Organization (FAO), global per  
32 capita dairy consumption will increase 12.5% by 2025 (IDFA, 2016). In addition to the  
33 nutritional value, dairy products are considered health-promoting foods for the  
34 prevention or amelioration of osteoporosis, sarcopenia, metabolic syndrome,  
35 cardiovascular disease, cognitive decline, and digestive disorders (Hess, Jonnalagadda  
36 & Slavin, 2016).

37 To prevent pathogenic bacteria, toxic substances, and off flavours in dairy  
38 products, fresh whole milk should be submitted to thermal processing before  
39 commercialization (Amaral et al., 2017). This step eliminates pathogenic  
40 microorganisms, and provides low counts of spoilage microorganisms, acceptable  
41 aroma and flavour and adequate physicochemical characteristics (Barba et al., 2017).

42 Consumers have searched for dairy products that are safe, nutritious, practical,  
43 minimally processed, environmentally friendly, healthy, appetizing, economical, and  
44 personalized but that also have a long shelf life (Mir, Shah & Mir, 2016). Thermal  
45 processing improves the microbiological safety (Misra et al., 2017a), but they  
46 extensively damage sensory, nutritional, and physicochemical properties (Mosqueda-  
47 Melgar, Elez-Martínez, Raybaudi-Massilia & Martín-Belloso, 2008, Barba et al., 2012),  
48 resulting in non-enzymatic browning, loss of vitamins and volatile flavour compounds,  
49 freezing point depression, and flavour changes in dairy products (Gurol, Ekinci, Aslan &

50 Korachi, 2012). In addition, they require high-energy consumption, which compromises  
51 with the final product value to guarantee the profitability of the industry (Barba et al.,  
52 2017).

53 Non-thermal processes can meet microbial food safety standards and improve  
54 the physical, nutritional and sensory characteristics of the products, preserving unstable  
55 bioactive compounds and modulating enzyme activity (Amaral et al., 2017). These  
56 methods include ohmic heating (Cappato et al., 2017), high hydrostatic pressure  
57 (Barba, Esteve & Frígola, 2012), pulsed electric field (Cruz et al., 2010), pulsed-light  
58 technology (Abida, Rayees & Massodi, 2014), ultrasound (Ashokkumar et al., 2010),  
59 supercritical carbon dioxide technology (Amaral et al., 2017), and irradiation (Odueke et  
60 al., 2015). However, scientific knowledge on the utilization of cold plasma as an  
61 emerging non-thermal technology for dairy products is scattered and none of the  
62 previous studies reviewed these aspects.

63 This review aims to describe the fundamentals, process parameters, and  
64 technology involved in cold plasma. It also describes the mechanisms of microbial  
65 inactivation and provides an overview of the effects of non-thermal plasma on the  
66 quality of dairy products, considering the physicochemical, sensory and microbial  
67 characteristics.

## 68 **2. Cold Plasma**

### 69 *2.1 Fundamentals*

70 Plasma is known as the fourth state of matter, and is an electrically energized  
71 matter in a gaseous state composed of charged particles, free radicals, and some

72 radiation. It is obtained by an electrical discharge (Fernández & Thompson, 2012), in  
73 which a partially or completely ionized gas is formed that is composed of photons  
74 (essentially), ions, free electrons, and atoms in their fundamental or excited states.  
75 These species are designated light species (photons and electrons) or “heavy” species  
76 (remaining constituents) (Misra, Tiwari, Raghavarao, & Cullen, 2011, Misra et al.,  
77 2017a).

78 The plasma is roughly electrically neutral in a global sense, i.e., the number of  
79 positive charges and negative charges are equal (Ekezie et al., 2017, Pankaj & Keener,  
80 2017). Matter can reach this plasma state through the application of magnetic, thermal  
81 or electric energy at radio or microwave frequencies that increase the kinetic energy of  
82 the electrons. The process parameters and gas used will determine the nature of the  
83 plasma (Phan et al., 2017).

84 There are two plasma classes—denominated non-thermal plasma (NTP) or cold  
85 plasma and thermal plasma—which are distinguished by the thermodynamic equilibrium  
86 between electrons and ions. Cold plasma is generated at 30-60 °C under atmospheric  
87 or reduced pressure (vacuum), requires less power, exhibits electron temperatures  
88 much higher than the corresponding gas (macroscopic temperature), and does not  
89 present a local thermodynamic equilibrium (Thirumdas, Sarangapani, & Annapure,  
90 2015). It is suitable for treatment of heat-sensitive food products because the ions and  
91 uncharged molecules gain only a little energy and remain at a low temperature (Phan et  
92 al., 2017, Pankaj, Shi & Keener, 2018). Thermodynamics, transport phenomena, and  
93 electrochemistry of external field assisted nonthermal food technologies (Misra et

94 al.,2017b). In contrast, thermal plasma is generated at higher pressures ( $>10^5$  Pa) and  
95 requires high power (up to 50 MW); there is almost a local thermodynamic equilibrium  
96 between electrons and heavy species. The gas temperature is nearly the same for all  
97 plasma components and is very high (4 to 20 x  $10^3$  °C) (Liao et al., 2017).

98 Plasma jets, dielectric barrier discharges (DBD), corona discharges, and  
99 microwave discharges (Figure 1) are common sources for the generation of cold plasma  
100 at atmospheric pressure (Surowsky, Schlüter, & Knorr, 2015).

101 A dielectric barrier discharge (DBD) (Figure 1a) is generated between two  
102 electrodes covered with dielectric layers, which stops electric currents and prevents the  
103 formation of sparks (Moreau, Orange & Feuilleoy, 2008). The name "DBD" defines its  
104 configuration, in which the discharge is blocked by a dielectric barrier layer. It is a non-  
105 equilibrium alternating or direct current discharge and usually operates at frequencies  
106 between 0.05 and 500 kHz over a wide range of gas pressures (normally  $10^4$ – $10^6$  Pa)  
107 (Zhang et al., 2017). The typical gap distance in DBDs varies from 0.1 mm to several  
108 centimetres. DBD operates under reasonably high-power levels and its efficiency  
109 depends on several factors, including the gas used, the operating voltage, and the  
110 distance between the electrodes (Ehlbeck et al., 2011). The major advantages of DBDs  
111 include the wide variety of gases that can be used for plasma generation (noble gases,  
112 air or water vapour, special mixtures of precursors and almost all combinations of  
113 gases), the low ( $< 100$  sccm) or no gas flow needed, the homogeneous discharge over  
114 a large area, and the different possible geometries of electrodes, all of which lead to  
115 good adaptability (Phan et al., 2017). A disadvantage is the high ignition voltage of at

116 least 10 kV, depending on the restricted electrode gap, which makes precautions or  
117 isolations essential. DBD is an ideal plasma source for large surfaces (Ehlbeck et al.,  
118 2011, Phan et al., 2017).

119 Plasma jets (Fig. 1b) cover various configurations that enable the operation of  
120 gas discharge in a non-sealed ("open") electrode arrangement and the projection of the  
121 discharge plasma species in an open environment (Nishime et al., 2017). Small "plasma  
122 flames" that are typically generated in the radio frequency range are produced by non-  
123 thermal plasma jets at atmospheric pressure, which are capacitively coupled devices  
124 consisting of two coaxial electrodes, between which gas flows at high rates. The outer  
125 electrode is grounded, while the central electrode is excited by radio frequency (RF)  
126 power at 13.56 MHz. The free electrons are accelerated by the RF field and collide with  
127 molecules of background gas. These inelastic collisions can produce various reactive  
128 species (excited atoms and molecules, free radicals) that exit the nozzle at high velocity  
129 (Misra et al., 2016). Common gases used in the process include noble gases such as  
130 helium or argon at a high flow rate (> 10 slm). This is an expensive approach, because  
131 of the high cost requirement due to the gas flow, is acceptable for some biomedical  
132 applications, rather than food processing, in which cost is an important consideration.  
133 Furthermore, the treatment rate is overstepped by plasma jets, and the small  
134 dimension is also a disadvantage. The advantages of this type of plasma include its  
135 direct applicability and ability to penetrate into narrow gaps (Weltmann et al., 2008).

136 Corona discharge (Fig. 1c) is a weakly luminous discharge that usually appears  
137 at atmospheric pressure near sharp electrode geometries (points, edges or thin wires).

138 This is where electric field is large enough to accelerate electrons produced randomly to  
139 the level of ionization energy of the atoms or molecules of the surrounding gas (Phan et  
140 al., 2017). Typical geometries are point-to-plate geometries (sharply curved electrode  
141 arranged counterpart to a flat one) and cylindrical configurations (Elbeck et al., 2011).  
142 Ionization and luminosity are mainly located in the sharp electrode. These  
143 arrangements can work in direct current or pulsed voltage mode, and the sharp  
144 electrode can have a positive or negative potential (Pekarek, 2010). The corona system  
145 does not require a complex apparatus and can be generated in a simple device that  
146 does not require large implementation and operating expenses. Its main limitation is  
147 that it acts only on a small area, with non-uniform treatment (Scholtz, Julak & Kriha,  
148 2010).

149 Microwave-driven (MW-driven) discharges (Fig. 1d) are generated without  
150 electrodes; emanated by a magnetron; typically occur at 2.45 GHz; and guided to the  
151 process chamber by a waveguide or a coaxial cable, where they reach the electrons  
152 present in the process gas (Tolouie et al., 2017). The electrons absorb the microwaves,  
153 leading to an increase in kinetic energy and thus in ionization reactions due to inelastic  
154 collisions (Schlüter & Fröhling, 2014). The major advantage of MW-driven discharges is  
155 the electrode-less setup, which is easy to handle. They can be ignited in an air  
156 environment, even with special admixtures, precursors or water vapour. However, the  
157 spatial limitation is the disadvantage. An array of discharges must be used for direct  
158 decontamination of large areas (Ehlbeck et al., 2011).

159 The ability of plasma to work at moderate operating temperatures has opened  
160 up the possibility of using cold plasma for disinfection and sterilization of heat sensitive  
161 materials for which thermal plasma cannot be used (Misra et al., 2016). Plasma  
162 processing modifies the food material to the desired characteristics, maintains texture  
163 and nutritional properties, and promotes microbial decontamination. In addition, a wide  
164 range of microorganisms, including spores and viruses (Misra & Jo, 2017, Barba et al.,  
165 2017) can be inactivated by plasma treatment.

### 166 *2.2 Effectiveness of cold plasma in microbial inactivation*

167 Cold plasma is a relatively new non-thermal technology that efficiently  
168 inactivates microorganisms, including bacteria, bacterial spores, fungi, and biofilms  
169 (Segat et al., 2016). Figure 2 presents an overview of cold plasma microbial  
170 inactivation. Three basic mechanisms are triggered by the plasma and contribute to cell  
171 death, including etching of cell surfaces induced by reactive species formed during  
172 plasma generation, volatilization of compounds and intrinsic photodesorption of  
173 ultraviolet (UV) photons, and destruction of genetic material (Moisan et al., 2002;  
174 Laroussi, 2005).

175 Many agents can act during plasma application, such as radicals and chemical  
176 products, e.g.,  $NxOy$ , atomic oxygen (O), ozone ( $O_3$ ), hydroxyl (OH), reactive oxygen  
177 (ROS) and nitrogen species (RNS), high-energy UV radiation, radiation in the visible and  
178 infrared spectral range, charged particles, alternating electric fields, and physical and  
179 chemical etch processes. The combination of these agents makes cold plasma attractive

180 because it is almost impossible for pathogens to develop resistance against these  
181 plasma stress factors (Ehlbeck et al., 2011).

182 Intrinsic photodesorption can be induced by UV irradiation and leads to the  
183 breakage of chemical bonds in microorganisms, followed by the formation of volatile by-  
184 products such as CO and CH<sub>x</sub> from the intrinsic atoms of the microorganisms (Schlüter  
185 & Fröhling, 2014). The apparent contribution of UV from plasma sources is dependent  
186 on the configuration of the plasma source, the operating pressure, and the scale of the  
187 plasma discharge, among others (Misra & Jo, 2017).

188 Microorganisms are exposed to intense bombardment by plasma radicals (OH  
189 and NO), which are absorbed onto the bacterial surface and form volatile compounds  
190 (CO<sub>2</sub> and H<sub>2</sub>O). These compounds provoke lesions on the surface that the cell cannot  
191 repair, resulting in cell death. Furthermore, the cell membrane is exposed to intense  
192 electric fields that can provoke rupture due to the electrostatic tension experienced  
193 from the high electrical charge developed within (Misra & Jo, 2017).

194 The formation of pores results in higher membrane permeability, which directly  
195 affects the cell transmembrane potential and regulation of intracellular pH, with  
196 acidification provoked by the humid air plasma. However, many microorganisms have  
197 very dense cytoplasm and cytoplasmic proteins with buffer capacity, resulting in  
198 maintenance of the pH. Thus, this parameter is a non-essential factor for bacterial  
199 inactivation (Moreau, Orange & Feuilleley, 2008). Furthermore, the formation of pores  
200 contributes to release of the inner fluid, precluding stimulation of anti-stress action, and  
201 repairing microorganismal processes. Subsequently, the active species are transported

202 into the cell, where internal cell damage can occur through destruction of DNA,  
203 proteins, and other internal cell components (Phan et al., 2017).

204 Changes in membrane integrity can directly affect DNA mainly through the  
205 breakdown of interactions between membrane proteins and DNA, as well as pore  
206 formation that results in the release of DNA from the cell. Furthermore, partial  
207 fragmentation of the DNA due to exposure to electrical discharges can be observed.  
208 The effect of plasma on DNA is related to a combination of activities of free radicals  
209 (NO and OH) and UV, which can form thymine dimers and strand breaks, thus inhibiting  
210 the ability of bacteria to replicate (Moreau, Orange & Feuilleley, 2008).

211 A reaction between reactive oxygen species (ROS) and the cellular  
212 macromolecules is observed after bacterial exposure to plasma. ROS cause protein  
213 denaturation and cell leakage at equal amounts in cells and spores (Critzler, Kelly-  
214 Winterberg, South, & Golden, 2007). The ROS reacts with membrane lipids, resulting in  
215 the formation of unsaturated fatty acid peroxides, while the amino acids and nucleic  
216 acids are oxidized to 2-oxo-histidine and 8-hydroxy-2 deoxyguanosine, respectively. It is  
217 believed that the lipids are the most vulnerable macromolecules in cell membranes,  
218 likely due to their location near the surface (Liao et al., 2017). Alteration of membrane  
219 lipids results in leakage of macromolecules (Schlüter & Fröhling, 2014). The inactivation  
220 mechanisms cause several reactions in bacterial cells, including lipid peroxidation of  
221 polyunsaturated fatty acids and oxidation of amino acids and DNA (Misra & Jo, 2017).

222 Table 1 presents the important parameters for the efficiency of cold plasma  
223 treatment in microbial inactivation, considering the processing conditions, product, and

224 equipment parameters. The gas or gas mixture used for plasma generation is important  
225 for considering their effects on antimicrobial efficacy and process cost (Misra & Jo,  
226 2017). Plasma discharges with a higher oxygen concentration are associated with  
227 increased levels of microbial inhibition, due to higher levels of oxygen-based active  
228 species, including atomic oxygen and ozone (Misra & Jo, 2017).

229 In the context of gas-liquid environments, the most important reducing agents  
230 are hydrogen radicals (H) and superoxide radicals ( $O_2^-$ ) due to their degradation of  
231 compounds containing nitro groups and compounds with high electron affinity (Misra et  
232 al., 2016). Noble gases are traditionally used in cold plasma due to several reasons,  
233 including high thermal conductivity (for heat removal), rich ultraviolet emission spectra,  
234 and lower operating discharge voltage at atmospheric pressure. However, they are  
235 more expensive than air, making air a better option (Misra & Jo, 2017).

236 Gas flow is an important parameter that determines the velocity with which  
237 active species are driven to the target location. Depending on the flow rate, some  
238 short-lived species may not reach the sample (Nishime et al., 2017). Gas flow rate can  
239 influence the operation of discharge, the retention time and the mass transfer process  
240 of reactive species. Increasing the flow rate can enhance the collision and reaction  
241 possibilities of the reactive species (Zhang et al., 2017).

242 The reactive species generated in the discharge also depend on the electrical  
243 input (voltage, frequency, and power) used in the process. Greater electrical input and  
244 longer treatment lead to higher process efficiency (Liao et al., 2017). However, food  
245 quality parameters must be evaluated in the selection of electrical input parameters.

246 Furthermore, the improvement of efficacy with increasing time increases until saturation  
247 because the limited lifetime of the active species does not allow coverage of larger  
248 sample areas, even with increased treatment time (Nishime et al., 2017).

249 Plasma sources can be operated in either direct or indirect mode (also known as  
250 a remote or afterglow). Direct plasma application is characterized by the inclusion of a  
251 greater variety of reactive species, most with very short lifetimes (milliseconds). There  
252 may also be surface plasma reactions, such as etching and deposition (Misra & Jo,  
253 2017). In indirect or remote plasmas, only "plasma exhaust" containing longer-living  
254 reactive species such as nitric oxide or ozone contact the food, and plasma is generated  
255 in a separate chamber (Surowsky, Schlüter, & Knorr, 2015). In indirect operation mode,  
256 the quantum of heat transmitted to a sample is reduced, and the charged particles do  
257 not play a role since they recombine before reaching the sample. In addition, many  
258 short-lived neutral reactive species do not reach the sample (Misra et al., 2011). In  
259 general, direct exposure is more efficient than indirect exposure. However, it can be  
260 somewhat more challenging to build and operate compared to indirect treatment  
261 systems (Niemira, 2012).

262 Relative humidity (RH) plays an important role in the microbial effect of the cold  
263 plasma because it influences the generation of reactive species, thus affecting the  
264 overall process. Studies have shown that an optimal amount of water can lead to higher  
265 inactivation efficiency, and vice versa; excess water leads to dilution of the effects. With  
266 increased RH, there is an increase in peroxy acid groups and OH production due to the  
267 decomposition of additional water molecules (Guo, Huang & Wang, 2015, Liao et al.,

268 2017). Therefore, the addition of water vapour to the system can originate atomic  
269 species that enhance the antimicrobial effect.

270 The characteristics of the microorganism are important for the process  
271 effectiveness because sensitivity to treatment can differ among microorganisms, even  
272 for similar species or strains. Microorganisms in the stationary phase or sporulated form  
273 are more resistant than those in the exponential phase or vegetative form. In addition,  
274 fungal resistance against cold plasma is commonly higher than in bacteria because the  
275 cell wall of fungi consists of chitin, which is more rigid than the peptidoglycan of  
276 bacterial cell walls (Liao et al., 2017). Studies have shown greater efficiency of cold  
277 plasma in the inactivation of gram-negative bacteria compared to gram-positive  
278 bacteria (Schlüter & Fröhling, 2014; Liao et al., 2017). This is due to the presence of a  
279 thick peptidoglycan structure on the outside of gram-positive cells that is resistant to  
280 chemical changes; in contrast, gram-negative bacteria are more susceptible to  
281 membrane rupture since they have a roughened, thin membrane and electrostatic force  
282 can overcome the tensile strength of this outer membrane (Nishime et al., 2017).

283 The initial microorganism concentration in food is an important parameter in  
284 determining the efficiency of cold plasma processing. A higher initial concentration  
285 decreases the inactivation effect of the cold plasma likely because higher  
286 microorganism counts cluster more cells together, decreasing the ability of plasma  
287 active ingredients to reach cell. This parameter should be considered when selecting  
288 the process parameters of the cold plasma apparatus, so that the microbial load can  
289 decrease to suitable values (Liao et al., 2017).

290 The performance of cold plasma also depends on the raw materials used in  
291 processing. For solid foods, such as cheeses, the treatment is usually limited to the  
292 product surface. The ability of plasma and reactive species to penetrate solid foods is  
293 dependent on several factors, including physicochemical composition, water content,  
294 and porosity. However, in general, cold plasma has limited penetration depth  
295 (Surowsky, Bußler & Schlüter, 2016). Short-lived reactive plasma species can directly  
296 react with the external cell membrane and be transported into the cell, where internal  
297 cell damage can occur. In liquid foods, such as milk, yoghurt, dairy drinks, etc., each  
298 volume element comes into contact with the applied plasma (or at least subsequent  
299 reaction products), so that the penetration depth is of minor importance. In this case,  
300 microorganisms and all other surrounding components are affected. Therefore,  
301 optimization is required for good antimicrobial efficacy and retention of other food  
302 constituents (Surowsky, Bußler & Schlüter, 2016).

303 The physicochemical parameters of the dairy product are also important for  
304 microbial inactivation by cold plasma. The acidity of the food matrix has been found to  
305 affect bacterial resistance towards some stress, with lower microbial tolerance in more  
306 acidic products. In addition, higher moisture content of the product is related to an  
307 increased effect of cold plasma on microbial inactivation, likely due to increased  
308 decomposition of additional water molecules into hydroxyl radicals (Liao et al., 2017).

309 Food shape and porosity can influence antimicrobial treatment with cold plasma.  
310 It is difficult to treat bulky, irregularly shaped food, once the rough surface provides  
311 numerous sites for microorganisms to attach and potentially escape antimicrobial

312 treatment (Misra & Jo, 2017). For packaged food, in-package plasma treatments,  
313 through-package, or open treatments can also be applied. In in-package cold plasma  
314 treatment, bactericidal molecules are generated and contained in the package, allowing  
315 higher exposure to pathogenic microbes while reverting to the original gas within a few  
316 hours of storage. Antimicrobial treatment inside a sealed package can ensure the  
317 prevention of post-processing contamination (Misra et al., 2013). In addition, the  
318 composition and surface characteristics of electrodes are important because they guide  
319 discharge and can evolve during the process. The distance between the electrodes  
320 where the plasma is generated, and the target must be evaluated, as greater distance  
321 leads to the less effective treatment (Moreau, Orange & Feuilleley, 2008). Studies on  
322 the efficacy of cold plasma on microbial inactivation in dairy foods have mainly focused  
323 on DBD or corona discharge plasma sources. The first is preferred because it is easy to  
324 design, generates more reactive compounds, and works well in air or oxygen/nitrogen  
325 mixtures (Misra & Jo, 2017).

### 326 **3. Impact of Cold plasma on the quality of dairy foods**

#### 327 *3.1 Microbiological aspects*

328 Infectious diseases caused by the ingestion of pathogenic bacteria in  
329 contaminated milk are still a major health concern, especially for children. The most  
330 predominant infectious diseases caused by contaminated milk include  
331 campylobacteriosis, salmonellosis, yersiniosis, listeriosis, tuberculosis, brucellosis,  
332 staphylococcal enterotoxin poisoning, streptococcal infections and *Escherichia coli* 0157:

333 H7 (Gurol, Ekinci, Aslan & Korachi, 2012; Ranadheera, Prasanna, Vidanarachchi,  
334 McConchie, Naumovski, & Mellor, 2017).

335         Although many studies have focused on the decontaminating ability of plasma  
336 technology, there are limited investigations into the effect of cold plasma on food  
337 products themselves, especially dairy foods (Gurol et al., 2012, Lee et al., 2012, Song et  
338 al., 2009, Yong et al., 2015a,b, Kim et al., 2015). The application of cold plasma to  
339 microorganism control has not been fully studied, as this high-complexity technology  
340 with a diversity of devices was not developed for biological applications (Moreau,  
341 Orange & Feuilloley, 2008). Table 2 shows the published studies using cold plasma in  
342 milk and dairy products, including experimental conditions for the microbial inactivation  
343 of cold plasma in several dairy foods, such as sliced cheese (Song et al., 2009; Lee et  
344 al., 2012, Yong et al., 2015a,b); whole, semi-skimmed, and skimmed UHT milk (Gurol  
345 et al., 2012); and milk samples (Kim et al., 2015). All studies confirm the potential of  
346 cold plasma to inactivate harmful microorganisms in milk and dairy products. The  
347 antimicrobial effects of plasma are mainly due to interactions between the reactive  
348 oxygen species (ROS) and reactive nitrogen species, leading to strong oxidative effects  
349 on double bonds in the lipid bi-layer of the microbial cell, and damaging the transport of  
350 macromolecules inside and outside the cell. These reactive species can be considered  
351 the most important agents that participate in pathogen inactivation (Phan et al., 2017).  
352 In dairy products, the antimicrobial efficiency of cold plasma technology depends on  
353 several factors, including the species of the target microorganism, input power,  
354 treatment time, gas composition, and food composition.

### 355 *3.2 Physicochemical and sensory aspects*

356 Physicochemical and sensory characteristics are important parameters for the  
357 quality and preservation of dairy products. Although the ions present in cold plasma  
358 give rise to an antimicrobial effect, studies of the possible negative effects on the  
359 physicochemical and sensory characteristics of the treated product, especially those  
360 with high nutritious value, are required (Korachi et al., 2015). There are few studies in  
361 the literature that evaluate the effect of cold plasma technology on the physicochemical  
362 and/or sensory characteristics of dairy products (Gurol et al., 2012, Lee et al., 2012,  
363 Korachi et al., 2015, Segat et al., 2015, 2016, Yong et al., 2015b, Kim et al., 2015).

364 Table 3 shows published studies using cold plasma technology in milk and dairy  
365 products, including experimental conditions for the quality standards of dairy foods.  
366 Inconclusive findings were reported for milk pH (Gurol et al., 2012), while interesting  
367 findings were observed for the instrumental colour parameters of sliced cheese (Lee et  
368 al., 2012; Yong et al., 2015b); for the fatty acid profile, volatile compounds and protein  
369 content of milk (Kim et al., 2015); for milk fat (Sarangapani et al., 2017); and for whey  
370 protein isolate (Segat, Misra, Cullen & Innocent, 2015), although these differences were  
371 not observed by trained panellists. The results indicate that cold plasma could be a  
372 prospective alternative to traditional thermal food pasteurization methods, because of  
373 the minimized colour changes (Maillard browning) and formation of off-flavours and  
374 losses of nutritional value. However, the disparate findings suggest the need for  
375 optimization of cold plasma parameters for industrial applications.

376           The action of plasma on endogenous milk enzymes is similar to that occurring in  
377 microorganisms. Enzymes are inactivated by oxidation reactions of peptides that change  
378 the conformation of proteins, thus decreasing their enzymatic activity. This technology  
379 is currently used in milk and dairy products. Recent studies by Segat, Misra, Cullen &  
380 Innocent (2016) evaluated the effect of ACP on the activity and structure of alkaline  
381 phosphatase (ALP), an indigenous milk enzyme. ALP in solution was subjected to ACP  
382 at three discrete high voltages (40, 50, and 60 kV) for durations of 15 s to 5 min. The  
383 results demonstrated that dielectric barrier discharge-based plasma technology was  
384 able to inactivate the enzyme within a few seconds. The dichroic spectra suggested that  
385 the enzyme was characterized by a predominant  $\alpha$ -helix structure, and the helical  
386 content showed a decreasing tendency with increasing treatment time and voltage. The  
387 maximum temperature recorded for the most intense treatments was on the order of  
388 only 30°C, with no changes in pH.

389           Studies in the literature have shown that the physicochemical characteristics of  
390 milk and dairy products are not extensively affected by cold plasma treatment. Despite  
391 some observed instrumental colour differences, many studies have reported no  
392 differences that are detectable by the human eye. Cold plasma-treated milk can have  
393 higher acidity, which may be due to the multistep reactions of plasma-generated  
394 reactive species—including NO<sub>x</sub>, O, and O<sub>3</sub>—with water at the gas-water interface.  
395 Differences in the acidity of plasma-treated liquids may arise from several factors,  
396 including the volume treated, the buffering capacity, and the plasma source and inducer  
397 gas used. For cheese, an increase in lipid oxidation can occur, which may induce off

398 flavours and affect consumer acceptance. Thus, the application of this technology to  
399 high-fat products should be carefully evaluated. Cold plasma can be successfully used  
400 to selectively modify protein structure and improve WPI functionality. It was also able  
401 to inactivate alkaline phosphatase (ALP) within a few seconds.

#### 402 **4. Advantages, Disadvantages, and Limitations of cold plasma**

403 Cold plasma is a newcomer to the food technology field. Like all techniques, it  
404 presents advantages and disadvantages. Table 4 shows the main advantages,  
405 disadvantages, and limitations of cold plasma treatment for food preservation.

406 The advantages of plasma processes include high microbial inactivation efficiency  
407 at low temperatures; low impact on the internal product matrix; on-demand production  
408 of the acting agent; precise generation of plasmas suitable for the intended use; and  
409 the absence of water, solvents or residues; it is also a resource-efficient technology.  
410 Plasma processing is environmentally safe and can fulfil all ecological standards, once  
411 the active species disappear after the plasma power is turned off (Misra, Tiwari, &  
412 Cullen, 2011). However, the disadvantages of cold plasma process for food sterilization  
413 are evidenced in the treatment of bulky and irregularly shaped food. The volume and  
414 size of food should be considered because microbial inactivation occurs on the food  
415 surface and thus reactive plasma species can only penetrate foods to a limited extent  
416 (Song et al., 2009). The rough surface of some products provides numerous sites for  
417 microorganisms to attach and potentially escape antimicrobial treatment (Yong et al.,  
418 2015b).

419 In addition, there are other limitations for the widespread adoption of cold  
420 plasma by the dairy industry (Deeth & Datta, 2011). First, thermal processing is well  
421 established and has served the industry very well. Any new technology to replace a  
422 thermal process must offer clear advantages in terms of costs and product quality, or it  
423 must be able to perform additional functions that cannot be performed by heat alone.  
424 Furthermore, suitable tests of efficacy equivalent to the alkaline phosphatase test for  
425 thermal processing of milk must be conducted, and based on the results, regulatory  
426 authorities can approve the technology for a "Pasteurization" purpose. In addition,  
427 some potential pathogenic organisms have been shown to be resistant to certain  
428 technologies, but there are studies investigating these organisms and cold plasma  
429 technology. One of the main difficulties is precisely defining the operating conditions,  
430 which precludes comparison across studies and the scale-up from laboratory to  
431 industry. Finally, the sensory acceptance of treated products and the production of  
432 undesirable flavours have not been extensively studied.

433 Considering the applicability of cold plasma in food industry, the economic cost  
434 associated with the use of the new technology in comparison with the heat treatment  
435 should be assessed. The cost mainly depends on the investment of the equipment,  
436 energy cost of the treatment and general production costs (Barba et al., 2017). The  
437 machine should be inexpensive, process continuously at high speed with least  
438 maintenance and operates with a variety of gases. Therefore, it is of primordial  
439 importance to avoid using costly noble gases due to the low operating margins. Ideally,  
440 plasma sources capable of ionizing air at large gaps will be suitable. Furthermore,

441 plasma sources operating at line frequency rather than radio-frequency power sources  
442 could result in cost management (Keener & Misra, 2016). The non-thermal  
443 methodologies usually have higher costs than the thermal processes, but these costs  
444 are expected to decrease as more efforts are made to commercializing them. Moreover,  
445 the advantages on the sensory and quality properties of the products could outweigh  
446 the relatively higher cost (Li & Farid, 2016).

## 447 **5. Perspectives**

448 Cold plasma technology can be utilized as a novel antimicrobial intervention for  
449 the inactivation of pathogens and improvement of dairy product safety. The technique  
450 is classified as environmentally safe, fits all ecological standards, has high microbial  
451 inactivation efficiency at low temperatures, and a low impact on the product matrix. It  
452 creates on-demand production of the acting agent and precise generation of plasma  
453 suitable for the intended use, and importantly, requires no water or solvents, generates  
454 no residue, and is resource-efficient. However, studies performed to date have also  
455 demonstrated certain limitations of cold plasma treatment in dairy products, such as the  
456 acceleration of lipid oxidation and a negative impact on the sensory characteristics of  
457 processed products. Further investigations are required to elucidate the effects of cold  
458 plasma on the quality parameters of dairy products, including sensory characteristics,  
459 the retention of nutritional value, and the storage stability of the products. In addition,  
460 the effect of non-thermal plasma technology compared to conventional heat treatments  
461 should be studied.

462           Currently, plasma systems are not commercially available as a sterilizing tool in  
463 the food industry, mainly because they come in many size, shape, and state, and the  
464 area has not attracted the interest of physicists and engineers to a sufficient degree.  
465 Medical scientists and physicists have already established a good collaboration, and now  
466 some commercial scale results can be observed. Therefore, important aspects of this  
467 technology are still immature, particularly concerning its use in food. The application of  
468 NTP to food products must be studied in depth to supply a basis for the feasibility of  
469 plasma for large-scale commercial production. Once food security concerns are clarified,  
470 plasma processing must be scaled up for industrial applications.

471

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Table 1. Important parameters of the cold plasma

Parameter	Factor	General characteristic	Cold plasma application	References
<b>Processing parameters</b>	Gas composition	The nature and the proportions of the active species in the discharge and the treatment efficiency depends on the type of gas used in the process.	Plasma discharges with a higher oxygen concentration, due to the higher levels of oxygen-based active species, such as atomic oxygen and ozone, have been associated with higher microbial inhibition.	Misra & Jo (2017)
	Gas flow	The gas flow determines the velocity with which the active species are driven to the target location.	The carrier gas flow influences the operation of discharge, the mass transfer, and the retention time of the reactive species. Increasing the flow rate can enhance the collision and reaction possibilities of the reactive species	Zhang et al. (2017), Calvo et al. (2017)
	Electrical input (voltage, frequency, power)	Electrical input has influence on the microbial inactivation by cold plasma	The greater the electrical input the higher the efficiency of the treatment. Food quality parameters must be evaluated in the selection of electrical input parameters values.	Liao et al. (2017), Nishime et al. (2017)
	Mode of plasma exposure	Exposure can be direct or indirect/remote	If exposed remotely, the quantum of heat transmitted to a sample is reduced, and the charged particles do not play a role since they recombine before reaching the sample; in addition, many of the short-lived neutral reactive species also do not reach the sample. Direct exposure is more efficient than indirect exposure.	Misra et al. (2011), Niemira, (2012)
	Treatment time	Time of treatment has an influence on the microbial inactivation.	The greater the treatment time the higher the efficiency of the treatment, until saturation.	Nishime et al. (2017)
	Relative humidity	Relative humidity (RH) influences the generation of reactive species, thus affecting the overall process.	RH accelerated the bacterial spore inactivation rate.	Guo, Huang & Wang (2015), Liao et al. (2017)
<b>Product parameters</b>	Type and initial concentration of microorganism	The sensitivity to the treatment can differ among microorganisms, even for similar species or strains. The initial load is important.	Microorganisms in both stationary phase and sporulated form are more resistant to destruction than those in exponential phase and vegetative form. Greater inactivation efficiency of gram-negative bacteria in comparison to the gram-positive bacteria. Higher initial concentration decreases the cold plasma inactivation effect.	Liao et al. (2017), Ekezie et al. (2017)
	Food raw material	There are two major differences between the application of plasma on solid/dry media and liquid media: the penetration depths or contact surface between plasma and food, and the chemistry/physics initiated by ROS.	The application on solid foods is usually limited to a treatment on their surface. In liquid foods, every volume element comes into contact with the plasma (or at least with subsequent reaction products). In this case, both the microorganisms and all other surrounding components are affected. Therefore, an optimization regarding a good antimicrobial efficacy and retention of other food constituents is required.	Surowsky, Bußler & Schlüter (2016)

	Food composition	Moisture, protein, fat and other components.	In high-fat dairy foods the reactive oxygen species formed can lead to oxidation. Cold plasma can result in protein and carbohydrate oxidation, amino acid oxidation, hydrogen bonding disruption and prosthetic group modification.	Sarangapani et al. (2017)
	Food shape and porosity	The foods shape and porosity can influence the antimicrobial treatment by cold plasma	Difficult with bulky and irregularly shaped food. The rough surface of some products provides numerous sites for the microorganisms to attach and potentially escape from the antimicrobial treatment.	Misra & Jo (2017)
	Package	In-package, through-package or open treatment	In-package cold plasma treatment, the bactericidal molecules are generated and contained in the package, allowing higher exposure to pathogenic microbes, while reverting back to the original gas within a few hours of storage. The antimicrobial treatment inside a sealed package ensures the prevention of post-processing contamination.	Misra et al. (2013)
<b>Equipment parameters</b>	Composition and surface characteristics of the electrodes	The electrodes guide the discharge and can evolve during the process. The selection of the electrode material played a role in terms of decontamination efficacy.	A layer of oxide can rapidly cover the electrode surface, leading to the formation of a dielectric barrier that can modify the electrode potential and consequently the properties of the discharge. Silver and brass electrodes can be more effective than stainless steel or glass/brass.	Moreau, Orange & Feuilloy (2008)
	Distance between the electrodes and the target location	Distance between the electrodes and the target influences the cold plasma antimicrobial efficiency	The greater the distance the less efficient the treatment	Moreau, Orange & Feuilloy (2008)
	Plasma source	There are many plasma sources (DBD, plasma jet, microwave plasma, corona discharge, etc). The type can influence the efficiency and the characteristics of the plasma produced	DBD and Corona discharge system are the main plasma sources applied in dairy products.	Misra & Jo, 2017

**Table 2.** Effect of cold plasma technology on the microbial inactivation in dairy products.

Strain	Dairy	Cold plasma treatment	Assay	Results and Conclusion	Reference
<i>Escherichia coli</i> ATCC 25922	UHT and raw milk	Corona discharge system	The corona discharge system consisted of a 9 kV AC power supply, two tungsten electrodes (0.8 mm radius) and a simple ballast circuit. A high voltage was applied between the upper electrode tip and the liquid surface. The tip of the electrode was kept at a distance of 8 mm from the milk surface. A current of 90 mA was measured to flow into in the corona system and the temperature was kept below 35°C. The time dependent effect of atmospheric corona discharge on <i>E. coli</i> ATCC 25922 dispersed in whole, semi skimmed and skimmed milk was examined. Plasma was applied at time intervals of 0, 3, 6, 9,12, 15 and 20 min.	A significant 54% reduction in the population of <i>E. coli</i> cells after only 3 min was observed regardless of the milk fat content. The initial pre-plasma bacterial count of 7.78 Log CFU/mL in whole milk decreased to 3.63 Log CFU/mL after 20 min of plasma application. Low temperature plasma (LTP) did not cause any significant change in pH and color of raw milk samples. No viable cells were detected after one-week storage in whole milk samples and remained so over the 6-week storage period. The LTP was able to significantly reduce <i>E. coli</i> in milk by more than a 3-fold log reduction without significantly affect pH or color properties.	Gurol, Ekinci, Aslan & Koraci (2012)
<i>Escherichia coli</i> KCTC 1682 and <i>Staphylococcus aureus</i> KCTC 11764	Cheese	Dielectric barrier discharge (DBD)	DBD plasma at 3.5 kV <sub>pp</sub> and a bipolar 50 kHz (low frequency range) square wave with a 50% duty cycle. Evaluation of the potential of a dielectric barrier discharge (DBD) plasma system, using helium and He/O <sub>2</sub> mixture gas to improve the inactivation of <i>Escherichia coli</i> and <i>Staphylococcus aureus</i> . The effect of DBD on color parameters (L*, a* e b*) and sensory characteristics of sliced cheese during 1, 5, 10, and 15 min was also evaluated.	Significant reductions were observed in <i>E. coli</i> ranging from 0.09 to 1.47 log and 0.05 to 1.98 log with helium and with He/O <sub>2</sub> mixture, respectively. The number of <i>S. aureus</i> also decreased ranging from 0.05 to 0.45 log and 0.08 to 0.91 log with helium and with He/O <sub>2</sub> mixture, respectively. Significant decrease in the L* value and an increase in the b* value. Cheese slices were damaged after 10 and 15 min of plasma treatment. Significant reductions in the sensory quality including flavor, odor, and acceptability. The results indicate that the addition of oxygen resulted in a significant increase in inactivation of both pathogens and has potential for use in sanitizing food products, although the effect was limited.	Lee et al. (2012)
<i>Escherichia coli</i> (KCTC 1682), <i>Salmonella Typhimurium</i> (KCTC 1925), and <i>Listeria monocytogenes</i> (KCTC 3569)	Cheese	Dielectric barrier discharge (DBD)	Inactivation of <i>Escherichia coli</i> , <i>Salmonella Typhimurium</i> , and <i>Listeria monocytogenes</i> on sliced cheese by plasma DBD (250 W, 15 kHz) treatment. The effect of post-treatment storage time on the inactivation was also assessed.	When agar plates were subjected to plasma treatment, populations of <i>Escherichia coli</i> O157:H7, <i>Salmonella Typhimurium</i> , and <i>Listeria monocytogenes</i> showed 3.57, 6.69, and 6.53 decimal reductions at 60 s, 45 s, and 7 min, respectively. No viable cells of these pathogens were detected after treatments for 90 s, 60 s, and 10 min, respectively. When the pathogens were inoculated on cheese slices, 2.88, 3.11, and 2.26 decimal reductions were achieved after 15 min of treatment. No damage of cheese slices was detectable to the naked eye after 10 min of treatment.	Yong et al. (2015a)

				<p>The post-treatment storage duration following plasma treatment potentially affected further reduction of pathogen populations.</p> <p>After the plasma treatment, the populations of <i>E. coli</i>, <i>S. typhimurium</i>, and <i>L. monocytogenes</i> on cheese slice (approximately 5 Log CFU/g) decreased by 1.75, 1.97, and 1.65 Log CFU/g, respectively, after 5 min of treatment.</p> <p>The results indicated that the DBD system decreased and inactivated successfully pathogens in cheese slices. Furthermore, increasing post-treatment duration can improve the applicability of this system.</p>	
<p><i>Escherichia coli</i> O157:H7 (ATCC 43894), <i>Salmonella Typhimurium</i> (KCTC 1925), and <i>Listeria monocytogenes</i> (KCTC 3569)</p>	Cheddar	Dielectric barrier discharge (DBD)	<p>Inactivation of <i>Escherichia coli</i>, <i>Salmonella Typhimurium</i>, and <i>Listeria monocytogenes</i> on sliced cheddar cheese by flexible thin-layer DBD plasma (100 W, 15 kHz) treatment during 0, 2.5, 5 and 10 min.</p>	<p><i>Escherichia coli</i> O157:H7, <i>Listeria monocytogenes</i>, and <i>Salmonella Typhimurium</i> populations on agar plates were significantly reduced by plasma treatment.</p> <p>The level of these microorganisms in sliced cheddar cheese in response to 10-min plasma treatment significantly decreased by 3.2, 2.1, and 5.8 Log CFU/g, respectively.</p> <p>These results indicate that cold plasma can be used to sanitize food products.</p>	Yong et al. (2015b)
<p><i>Escherichia coli</i> (KCTC 1682), <i>L. monocytogenes</i> (KCTC 3569), and <i>Salmonella Typhimurium</i> (KCTC 1925)</p>	Milk	Dielectric barrier discharge (DBD)	<p>Encapsulated DBD plasma was generated in a plastic container (250 W, 15 kHz, ambient air) and DBD plasma treatment was applied to milk samples for periods of 5 and 10 min.</p>	<p>The total aerobic bacteria counts in the untreated control sample was 0.98 log CFU/mL.</p> <p>No viable cells were detected in the milk samples after plasma treatments.</p> <p>When milk samples were inoculated with <i>Escherichia coli</i>, <i>Listeria monocytogenes</i>, and <i>Salmonella Typhimurium</i>, plasma treatment for 10 min resulted in a reduction of bacterial counts by approximately 2.40 log CFU/mL.</p> <p>The results of this study indicate that encapsulated DBD plasma treatment for less than 10 min improved the microbial quality of milk.</p>	Kim et al. (2015)

**Table 3.** Effect of cold plasma technology on quality parameters in dairy products

Parameter	Dairy	Cold plasma treatment	Assay	Results and Conclusion	Reference
pH and color parameters	UHT and raw milk	Corona discharge system	The corona discharge system consisted of a 9 kV AC power supply, two tungsten electrodes (0.8 mm radius) and a simple ballast circuit. A high voltage was applied between the upper electrode tip and the liquid surface. The tip of the electrode was kept at a distance of 8 mm from the milk surface. A current of 90 mA was measured to flow into in the corona system and the temperature was kept below 35°C. The effect of atmospheric corona discharge on pH and color parameters (L*, a* and b*) of whole, semi skimmed and skimmed milk was examined. Plasma was applied at time intervals of 0, 3, 6, 9,12, 15 and 20 min.	Cold plasma did not cause any significant changes in pH and color of raw milk samples. Only a slight change in comparison with the untreated milk was observed after 20 min.	Gurol, Ekinci, Aslan &Koraci (2012)
Color (L*, a* and b*) and sensory acceptance	Cheese	Dielectric barrier discharge (DBD)	DBD plasma at 3.5 kV <sub>pp</sub> and a bipolar 50 kHz (low frequency range) square wave with a 50% duty cycle. The effect of a dielectric barrier discharge (DBD) plasma system was evaluated, using helium and He/O <sub>2</sub> mixture gas on color parameters (L*, a* e b*) and sensory evaluation (overall acceptance, appearance, color, flavor, odor, and texture). in sliced cheese during 1, 5, 10, and 15 min.	Cold plasma technology decreased the L*-value and increased the b*-value. Cheese slices were damaged after 10 and 15 min of plasma treatment. Significant reductions in sensory quality including flavor, odor, and acceptability.	Lee et al. (2012)
Physicochemical and sensory evaluation	Cheddar	Dielectric barrier discharge (DBD)	Evaluation of DBD plasma (100 W, 15 kHz) during 0, 2.5, 5 and 10 min in sliced cheddar cheese by flexible thin-layer.	The pH and L* values decreased whereas thiobarbituric acid reactive substances and b* values increased significantly with a higher exposure of the sliced cheddar cheese to DBD plasma. No significant differences were observed for total color difference ( $\Delta E$ ), sensory appearance, and color scores of DBD plasma-treated and untreated sliced cheddar cheese. Significant reductions in flavor and overall acceptance as well as an increase in off-odor were observed. The results indicated that flexible thin-layer DBD plasma can be used, but conditions should be optimized for industrial applications.	Yong et al. (2015b)

pH, color, fatty acid composition and lipid peroxidation	Milk	Dielectric barrier discharge (DBD)	Encapsulated DBD plasma was generated in a plastic container (250 W, 15 kHz, ambient air) and DBD plasma treatment was applied to milk samples for periods of 5 and 10 min.	<p>The pH of milk decreased after the 10-min plasma treatment.</p> <p>Hunter color <math>L^*</math> and <math>b^*</math> values of milk increased, and the <math>a^*</math> value decreased after the plasma treatment.</p> <p>The production of 2-thiobarbituric acid reactive substances increased slightly, but not significantly, after plasma treatment.</p> <p>The results indicated that the encapsulated DBD plasma treatment for less than 10 min resulted in slight changes in physicochemical quality of milk.</p>	Kim et al. (2015)
Biochemical changes to the protein, free fatty acids and volatiles profiles	Milk	Corona discharge system	The corona discharge system consisted of a 9 kV AC power supply, two tungsten electrodes (0.8 mm radius) and a simple ballast circuit. A high voltage was applied between the upper electrode tip and the liquid surface. The tip of the electrode was kept at a distance of 8 mm from the milk surface. A current of 90 mA was measured to flow into in the corona system and the temperature was kept below 35 °C. Raw milk was treated with a cold plasma system at intervals of 0, 3, 6, 9, 12, 15 and 20 min.	<p>Significant increase was observed for 1 octanol, 2 heptanone, 2 hexenal, 2 octenal, nonanal and benzaldehyde levels.</p> <p>Plasma treatment did not result in significant changes in the lipid composition, total ketone or alcohol levels.</p> <p>Exposure to cold plasma significantly increased the total aldehyde content after 20 min of treatment.</p>	Korachi et al. (2015)
Physicochemical, protein oxidation and functional properties	Whey protein	Dielectric barrier discharge (DBD)	The interaction between atmospheric pressure cold plasma (70 kV, ambient air) applied from 1 to 60 min and whey protein isolate (WPI) was evaluated.	<p>Increase in yellow color (<math>b^*</math>) and decrease in pH value was observed.</p> <p>Protein oxidation occurred for 15 min.</p> <p>Protein structure modifications demonstrated a certain degree of unfolding, as confirmed by high performance liquid chromatography (HPLC) profiles and dynamic light scattering (DLS), which improved foaming and emulsifying capacity.</p> <p>The changes were quite pronounced for 30 and 60 min of treatment.</p> <p>Overall, the foaming and emulsifying capacities were affected by the process. However, the foam stability increased.</p> <p>This study demonstrated that plasma can be successfully applied to electively modify the protein structure and therefore, improve the WPI functionality.</p>	Segat et al. (2015)
pH, temperature, CD spectroscopy, ALP inactivation kinetics.	Alkaline Phosphatase (ALP) (milk enzyme)	Dielectric barrier discharge (DBD)	The effect of DBD plasma, at 40, 50 and 60 kV, from 15 s and 5 min on the activity and structure of ALP was evaluated.	<p>Enzyme inactivation within a few seconds.</p> <p>Enzyme was characterized by a predominance of <math>\alpha</math>- helix structure.</p> <p>Helical content showed a tendency to decrease with an increase in treatment time and voltage.</p> <p>The maximum temperature for most intense treatments was in the order of only 30°C and no change in pH was noticed.</p> <p>These results indicated that DBB plasma</p>	Segat et al. (2016)

				treatments were significantly effective in inactivating the ALP enzyme.	
FTIR, <sup>1</sup> H NMR and chromatographic techniques	Dairy fat	Dielectric barrier discharge (DBD)	Plasma treatment was performed at variable voltage (60–80 kV) and treatment duration (3–30 min)	<p>The formation of secondary oxidation products was only observed in extended plasma treatment times of 30 min.</p> <p>The increase in ozonide band at 1105 cm<sup>-1</sup>, 1195 cm<sup>-1</sup>, formation of aldehydes at 1725, 2950 cm<sup>-1</sup> and 829, 969, 3470 cm<sup>-1</sup> was due to the formation of hydroperoxides. These changes were dependent on treatment time and applied voltage.</p> <p><sup>1</sup>H NMR analysis identified the formation of several lipid oxidation products, including aldehydes, such as hexanal or pentanal, mixtures of trans-2-heptenal, -octenal or -nonenal and 4-hydroxy-trans-2-nonenal.</p> <p>For the first time the formation of hydroperoxides of oleic acid (9-hydroperoxy-trans-10-, 11-hydroperoxy-cis-9-, 10-hydroperoxy-trans-8-, 8-hydroperoxy-cis-9-octadecenoates) and linoleic acid (as 9- and 13-hydroperoxy-octadecadienylglycerol species) was observed.</p> <p>Fatty acid composition analysis identified a reduction in oleic, palmitoleic and linoleic acids along with formation of the oxidation products 2-nonenal, azelaic acid, 9-oxononanoic acid, nonanoic acid and octanoic acid.</p> <p>Understanding cold plasma interactions with food lipids and the critical parameters governing lipid oxidation is required prior to the industrial adoption of this technology for high-fat food products.</p>	Sarangapani et al. (2017)

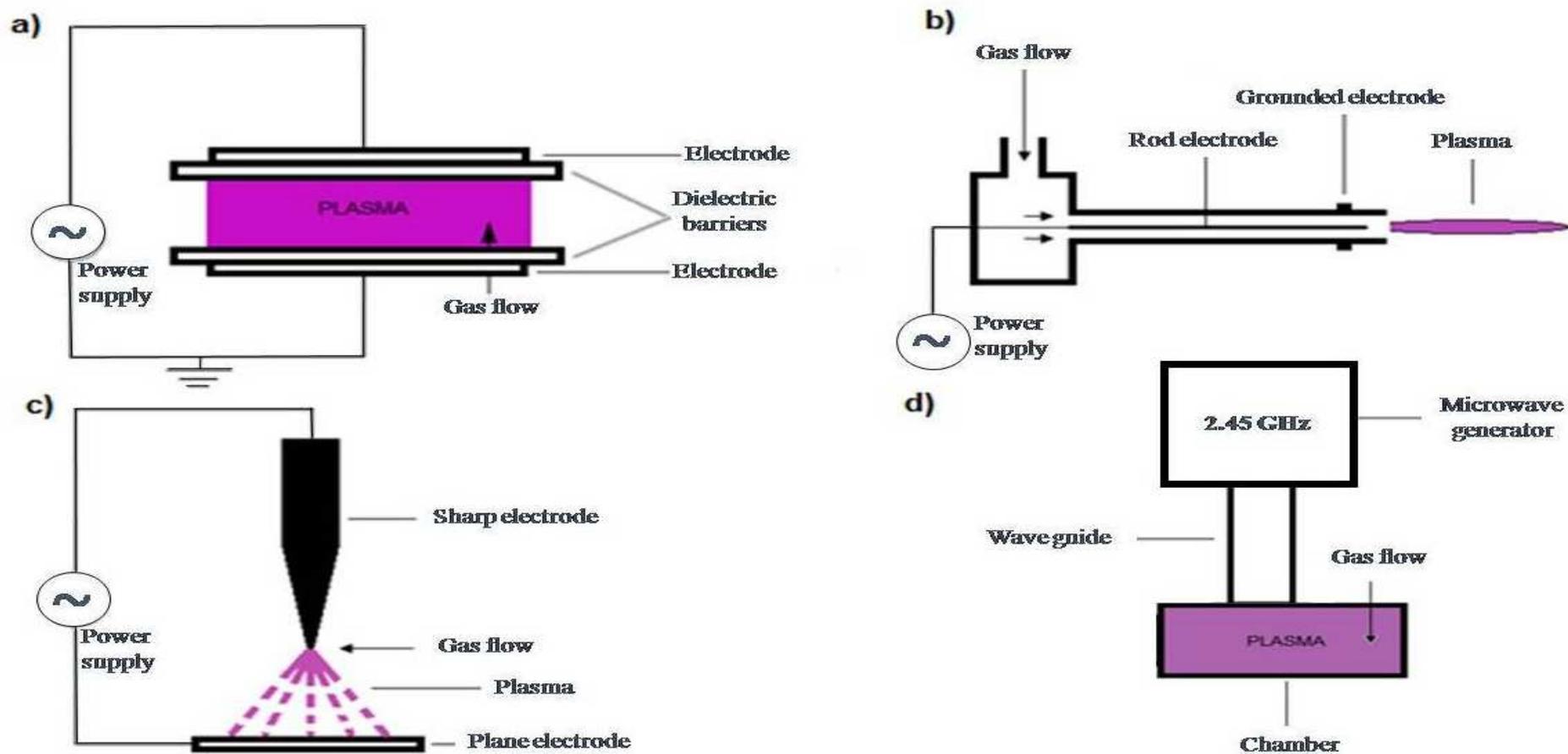
**Table 4.** Advantages, disadvantages, and limitations of cold plasma treatment for dairy foods

Advantages	Disadvantages	Limitations
High microbial inactivation efficiency at low temperatures (generally <math><50^{\circ}\text{C}</math>), extending shelf life and improving the efficiency of the supply chain	Difficult to precisely control the chemistry of the gas plasma reactions, especially due to the different moisture levels of foods	Thermal processing is well established and has served the industry very well. There is a need for studies on the effective advantages of cold plasma in terms of costs and/or product quality over thermal processing. Alternatively, the performance of additional functions that cannot be performed by heat alone is of interest
Compatible with most existing packaging and modified atmospheres - Almost all plasma sources available allow in situ production of the acting agents, just on demand, and in a range of gases	High-fat dairy foods - the reactive oxygen species formed can lead to oxidation. Sensory characteristics must be evaluated.	There are few tests of efficacy equivalent to the alkaline phosphatase test for thermal processing of milk. This impairs the regulatory authorities to approve the technology for 'pasteurization' purpose
The active chemical species of plasma has high diffusivity and fast action and can access to the entire food surface (in most cases)	The overall process can turn out to be expensive if operated using noble gases, because the cost of the plasma processing is largely dictated by the cost of the gas or gas mixture	Absence of information about possible resistant microorganisms to cold plasma technology.
Generally, it has negligible impact on the product matrix and can reduce the use of preservatives	Requires additional safety measures when the plasma generation is carried out using very high voltages. Appropriate measures for destruction and exhaustion of gases are also required.	The difficulty in precisely defining the operation conditions and comparing the results from different studies. It also makes it difficult to utilize the results from different research laboratories for scale-up purposes.
Environmentally friendly - free of water or solvent	Difficult with bulky and irregularly shaped food - The rough surface of some products provides numerous sites for the microorganisms to attach and potentially escape antimicrobial treatment	Possible formation of undesirable flavor
No residues formation, given sufficient time is provided for the recombination reactions.	Possible alteration in the color characteristics of the products	
Energy efficient - require only a low energy input	No studies have been conducted on the formation of toxic compounds in plasma treated foods	---
Applied to solid or liquid foods	There are few studies concerning the projected cost of treatment for scaling up this technology in food industry, with no comparisons with the heat treatment process.	----

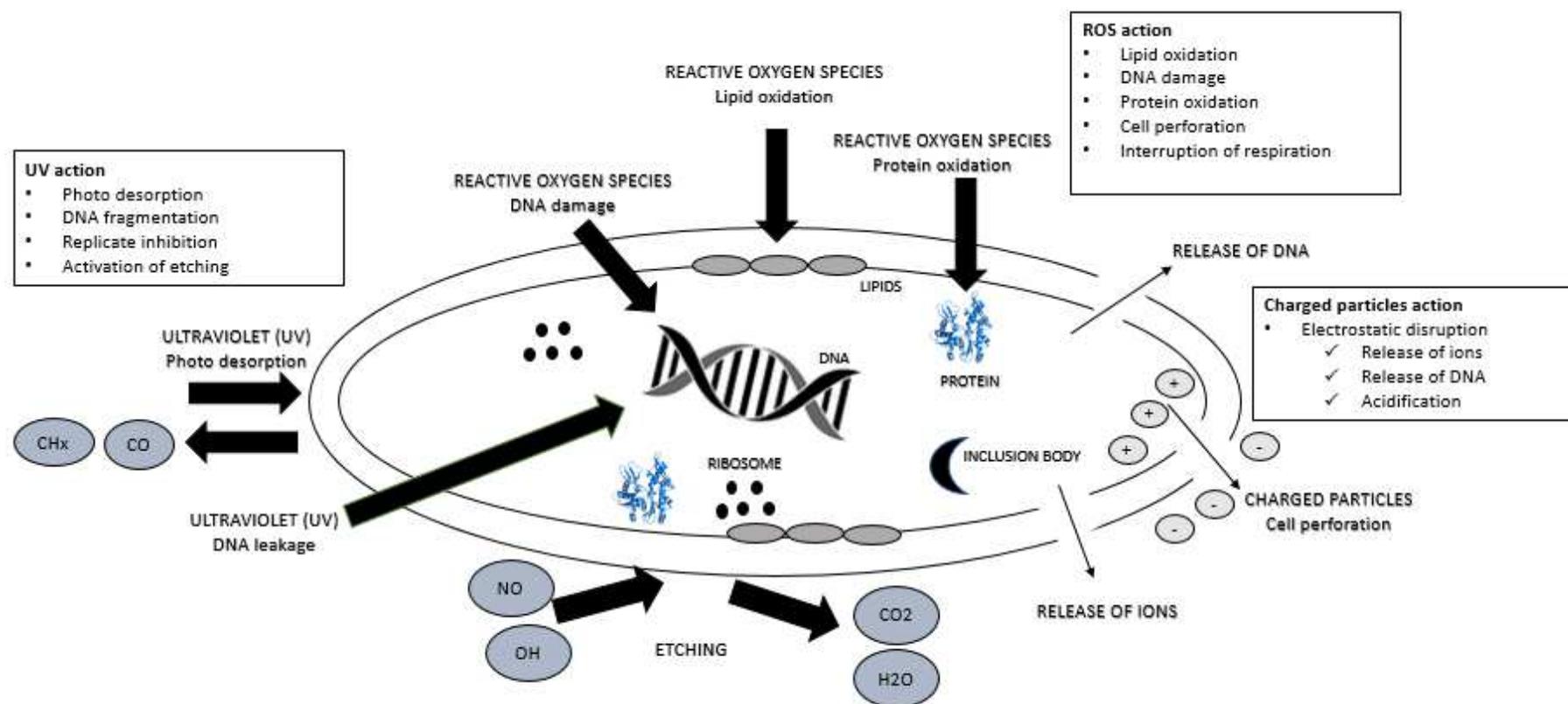
Source: Yong et al. (2015b), Misra, Schlüter &amp; Cullen (2016), Deeth &amp; Datta (2011)

**Figure 1.** Cold plasma systems. (a) Plasma jets, (b) dielectric barrier discharges (DBD) (c) corona discharges (d) and microwave discharges. Adapted from Surowsky, Schlüter & Knorr, 2015.

**Figure 2.** Overview of cold plasma mechanisms involved in microbial inactivation. Adapted from Schlüter & Fröhling, 2014.



**Figure 1.** Plasma generation at atmospheric pressure. (a) Dielectric barrier discharges (DBD), (b) Plasma jets, (c) corona discharges (d) and microwave discharges. Adapted from Surowsky, Schlüter & Knorr, 2015.



**Figure 2.** Overview of cold plasma mechanisms involved in microbial inactivation. Adapted from Schlüter & Fröhling, (2014).

- 1 ✓ Cold plasma as non-thermal technology is reviewed;
- 2 ✓ Diversity of systems, operational parameters and mechanisms are
- 3 revised;
- 4 ✓ Effects of cold plasma on the quality and safety of dairy foods are
- 5 reported.

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